

Stocks and Shares ISA Provider

Transfer Authority Form

Section 1: Existing Stocks and Shares ISA provider

To: The Manager

Name & Address

Postcode

Section 2: Your personal details and details of your current Stocks and Shares ISA

Title (Mr/Miss/Ms/Mrs/Mx)

Surname

First name

Any other name(s)

Date of birth (DD/MM/YYYY)

Address - Please enter your full permanent address

National Insurance Number

Existing Stocks and Shares ISA account number

As well as being subject to the terms and conditions of the Skipton Cash/Lifetime ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example:

- Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply please check with your existing Stocks and Shares ISA provider before completing this form.

1. Are you transferring into a Cash ISA or a Lifetime ISA?

Cash Lifetime

If you answered Cash, please go straight to question 3

If you answered Lifetime, please answer questions 2 and 3

2. Is the Stocks and Shares ISA you are transferring from, a Lifetime ISA?

Yes No

If you answered no, and you are transferring from a non-Lifetime Stocks and Shares ISA, this will be treated as a Lifetime ISA subscription. You can only transfer up to the £4,000 annual Lifetime ISA subscription limit.

3. Do you wish to transfer all or part of your existing Stocks and Shares ISA?

All Part

If you answered 'All' to Q3 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to Skipton Building Society in its entirety.

4. Have you subscribed to this Stocks and Shares ISA in this current tax year?

Yes No

If you have answered 'Yes' to Q4 please confirm the amount you have subscribed this year.

Amount

If you have answered 'All' to Q3 please go directly to **Section 4** Customer Authorisation.

If you have answered 'Part' to Q3 please go directly to **Section 3** below.

Continued overleaf

Section 5: ISA Declarations

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to Skipton Building Society.

I authorise my existing ISA provider to provide Skipton Building Society with any information, written or non-written, concerning the Stocks and Shares ISA and to accept any instruction from them relating to the Stocks and Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks and Shares ISA, or the existing Stocks and Shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant)
before going ahead with this transfer.

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible - I
accept any consequential loss of income, capital or charges that may be applied.

Customer Signature

Date of signature (DD/MM/YY)

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Transfer acceptance

Skipton Building Society are willing to accept this ISA transfer in line with the instructions above, as long as the following conditions have been met.

- The transfer proceeds are made up of cash deposits only
- We must receive the transfer proceeds no later than 30 days from the date on this form.
- Where you want to transfer subscriptions from the current tax year, these must not be more than the amount shown in Q4 overleaf.
- Please note we do not accept residual income payments

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown above will be the transfer date.

Please return this form with your application form to the address below in order for us to arrange the transfer of funds:
Customer Delivery, Skipton Building Society, Skipton, North Yorkshire, BD23 1DN

Financial Advice use only

Financial Adviser Name:

Case Handler Name:

Is this an advised case? Yes No

Date sent to Customer Delivery (DD/MM/YY):

 / /

Case Handler Staff Number:

